## FACSIMILE COVER SHEET

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October 21, 2003

TO: Examiner Horlick (TC1600)

**GROUP: 1637** 

**FAX NUMBER:** 703-872-9306

ATTORNEY DOCKET NO.: DEX-0241

SERIAL NO.: 10/002,344

FILED: October 25, 2001

#### NUMBER OF PAGES:

MESSAGE: Attached please find Amendment Transmittal Letter, Reply to Restriction Requirement and Certificate of Transmission by Facsimile.

Kathleen A. Tyrrell, Registration No. 38,350

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| CALLET CAME OF  | TRANSMISSION BY FACS              | MILE (37 CER 1.8)   | Docket No.                  |  |  |  |  |
|---|-----------------------------------|---|-----------------------------|--|--|--|--|
| Applicant(s): Recipon et                              |                                   | Million (37 CFR 210)  | DEX-0241                    |  |  |  |  |
| Applicant(s). Recipot co                              |                                   |   |                             |  |  |  |  |
| Serial No.  | Filing Date                       | Examiner  | Group Art Unit              |  |  |  |  |
| 10/002,344  | October 25, 2001                  | Horlick, Kenneth R.   | 1637                        |  |  |  |  |
| Invention: Composition                                | s and Methods Relating to Lung    | Specific Genes and Proteins   |                             |  |  |  |  |
|   | nitted to the United States Paten | eply to Restriction Requirement<br>(Identify type of correspondence)<br>t and Trademark Office (Fax. No | o. 703-872-9306             |  |  |  |  |
| on October 2  |                                   |   |                             |  |  |  |  |
| (Date)  |                                   |   |                             |  |  |  |  |
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|   | Kathleen A. Tyrrell               |   |                             |  |  |  |  |
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Typed or Printed Name of Person Mailing Correspondence

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| AMENDMENT TRANSMITTAL LETTER (Large Entity) Applicant(s): Recipon et al.   |   |                                    |                 |              |                                 | Dacket No. DEX-0241 |                |   |  |
|--|---|------------------------------------|-----------------|--------------|---------------------------------|---------------------|----------------|---|--|
| Serial No.<br>10/002,344   | ipon co   | Filing Date October 25, 2001       |                 | Цor          | Examiner<br>Horlick, Kenneth R. |                     |                | Group Art Unit<br>1637  |  |
| Invention: Comp  | osition   | s and Methods R                    | elating to Lung | Specific Gen | es and Proteins                 |                     |                |   |  |
|  | _   | ·                                  | THE COMMISS     |              |                                 |                     |                |   |  |
| Transmitted herev  | /ith is a<br>calcula  | in amendment in ated and is transi | nitted as shown | below.       |                                 |                     |                |   |  |
|  |   |                                    |                 | AS AMEND     | ED                              |                     |                |   |  |
|  |   | MS REMAINING                       | HIGHEST #       |              | MBER EXTRA                      | RATE                | i ]            | ADDITIONAL<br>FEE   |  |
| TOWAL CLAIMS   |   | R AMENDMENT                        | 20              | =            |                                 | x \$1               | 8.00           | \$0.00  |  |
| TOTAL CLAIMS   |   | 2 -                                | 3               | =            | 0                               | × \$8               | 6.00           | \$0.00  |  |
| INDEP. CLAIMS 2  Multiple Dependent Claims (check if applicable)   |   |                                    |                 |              |                                 |                     |                | \$0.00  |  |
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| <ul> <li>No additional fee is required for amendment.</li> <li>□ Please charge Deposit Account No. in the amount of</li> <li>□ A check in the amount of to cover the filing fee is enclosed.</li> <li>☑ The Director is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No.</li> <li>☑ Any additional filing fees required under 37 C.F.R. 1.16.</li> <li>☑ Any patent application processing fees under 37 CFR 1.17.</li> <li>○ OCT 2 1 2003</li> </ul> |   |                                    |                 |              |                                 |                     |                |   |  |
| Kathleen A. Tyree 66 East Main S Markton, New J  | rrell, R<br>II P.C.<br>treet<br>icrsey 0  | šignature /<br>eg. No. 38₃350      | Y.              | Dated        | i certify ti                    | nat this do         | wi<br>37 C.F.R | and fee is being deposited to the U.S. Postal Service as. 1.8 and is addressed to the D. Box 1450, Alexandria, VA |  |
| Fax: 856-810-1   | Tel: 856-810-1515 Fax: 856-810-1454  Signature of Person Mailing Correspondence |                                    |                 |              |                                 |                     |                |   |  |

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# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.:

DEX-0241

Inventors:

Recipon et al.

Serial No.:

10/002,344

Filing Date:

October 25, 2001

Examiner:

Horlick, Kenneth R.

Group Art Unit:

1637

Title:

Compositions and Methods Relating to Lung Specific Genes and Proteins

# Certificate of Facsimile Transmission

I hereby certify that this document is being facsimile transmitted to the Patent and Trademark Office on the date shown below.

On October 21, 2003

Kathleen A. Tyrrell, Regi

Mail Stop

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

Dear Sir:

# Reply to Restriction Requirement

This is a reply to the Restriction Requirement mailed September 22, 2002 setting a one (1) month statutory period for response. Please enter the following remarks into the record.

Remarks begin on page 2.

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Attorney Docket No.: DEX-0241

Inventors: Serial No.: Recipon et al. 10/002,344

Filing Date:

October 25, 2001

Page 2

#### REMARKS

Claims 1-17 are pending in the instant application. Claims 1-17 have been subjected to a Restriction Requirement as follows:

Group I, claims 1-5, 7-9 and 15 (partial), drawn to nucleic acids, vectors, host cells and methods of making a polypeptide, classified in class 536, subclass 23.1, and class 435, subclasses 69.1, 320.1 and 325, for example;

Group II, claim 10-11, drawn to polypeptides, classified in class 530, subclass 350, for example;

Group III, claims 12 and 15 (partial), drawn to an antibody, classified in class 530, subclass 387.1, for example;

Group IV, claims 6 and 14 (partial), drawn to a method for determining the presence of a nucleic acid, classified in class 435, subclass 6;

Group V, claims 13 and 14 (partial), drawn to a method for determining the presence of a polypeptide, classified in class 435, subclass 7.1, for example;

Group VI, claim 16, drawn to a method for treating a patient with lung cancer by administering an antibody, classified in class 514, subclass 2, for example;

Group VII, claim 17(partial), drawn to a vaccine comprising

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Attorney Docket No .:

DEX-0241

Inventors: Serial No.: Recipon et al. 10/002,344

Filing Date:

October 25, 2001

Page 3

a polypeptide, classified in class 514, subclass 2; and
Group VIII, claim 17 (partial), drawn to a vaccine
comprising a nucleic acid, classified in class 514, subclass 44.

The Examiner suggests that these Groups are distinct.

Specifically, with respect to Groups I, II, III, VII and VIII, the Examiner suggests that the claims are drawn to different products having different structures and functions.

With respect to Groups I and IV, and Groups III and (V,VI), the Examiner has acknowledged their relationship as product and process of use. However, the Examiner suggests that the Groups are distinct because the products can be used in materially different methods or processes.

With respect to Groups I and (V, VI), Groups II and (IV, V and VI), Groups III and IV, Groups IV-VI, and Groups (IV-VI) and (VII, VIII), the Examiner suggests that the Groups are unrelated because the different Groups are not required for one another.

Further, the Examiner suggests that each of Groups I-VIII are drawn to a multitude of nucleic acids, polypeptides, and antibodies thereto which are independent and distinct. Thus, the Examiner is also requiring election of a single nucleic acid, polypeptide or antibody.

Applicants respectfully traverse this Restriction

Attorney Docket No.: Inventors:

DEX-0241 Recipon et al.

Serial No.:

10/002,344

Filing Date:

October 25, 2001

Page 4

Requirement.

MPEP \$803 provides two criteria which must be met for a restriction requirement to be proper. The first is that the inventions be independent or distinct. The second is that there would be a serious burden on the Examiner if the restriction is not required. A search of prior art relating to an elected nucleic acid, polypeptide or antibody would also reveal any references teaching uses for the nucleic acid, polypeptide or antibody. Accordingly, Applicants believe that searching of all the claims, at least when limited to elected nucleic acids or polypeptides is overlapping and would not place an undue burden on the Examiner if the Restriction is not made.

Thus, since this Restriction Requirement does not meet both criteria as set forth in MPEP \$ 803 to be proper, reconsideration and withdrawal of this Restriction Requirement is respectfully requested.

In addition, with respect to the election of a single sequence, MPEP \$ 803.04 clearly states that a reasonable number of nucleotide sequences, normally ten sequences, can be claimed in a single application. Accordingly, withdrawal of this sequence election requirement and reconsideration to include a more reasonable number of at least 10 sequences in accordance

Attorney Docket No.:

DEX-0241

Inventors: Serial No.:

Recipon et al. 10/002,344

Filing Date:

October 25, 2001

Page 5

with MPEP § 803.04 is also respectfully requested.

However, in an earnest effort to advance the prosecution of this case, Applicants elect Group I, claims 1-5, 7-9 and 15, SEQ ID NO:81 encoding SEQ ID NO:221, with traverse.

Applicants believe that the foregoing comprises a full and complete response to the Office Action of record.

Respectfully submitted,

Kathleen A. (Tyrrell

Reg. No. 38,350

Date: October 21, 2003

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